

### **Ethics**

**Michael Hilton** 



#### **Administrivia**

**Due Today: Project 4 checkpoint** 

Final Project 4 - Tuesday April 11

No class Thursday April 13 - Carnival

Project 5 is live: <a href="https://cmu-313.github.io/projects/P5/">https://cmu-313.github.io/projects/P5/</a>

Let me know if you are concerned about finding an internship, and

would be interested in a class over the summer.



#### **Learning goals**

- Awareness of ethical issues in software engineering
- Reflection on decision making
- Questions to ask when evaluating the ethics of software
- Starting points to dig deeper

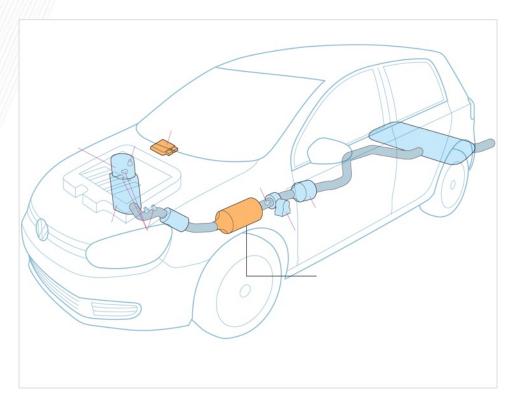


### **Ethics**



#### Volkswagen Scandal

VW was caught cheating on emissions for Diesel engines



https://www.nytimes.com/interactive/2015/business/international/vw-diesel-emissions-scandal-explained.html?mtrref=www.google.com&assetType=REGIWALL

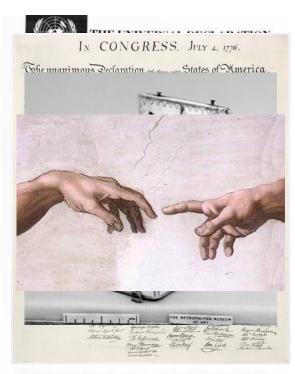


# Mhatis Human Flourishing?

According to Harvard's Human flourishing program:
Human flourishing is composed of five central domains:
happiness and life satisfaction, mental and physical
health, meaning and purpose, character and virtue,
and close social relationships.

#### Why Human Flourishing?

- Universal Declaration of Human Rights: "All human beings are born free and equal in dignity and rights."
- Declaration of Independence: "We hold these truths to be selfevident..."
- Internal Compass
- Faith







# Activity: (Un)Ethical situations



#### 80

# EA calls its loot boxes 'surprise mechanics,' says they're used ethically

'People like surprises,' executive tells UK Parliament

By Ana Diaz | @AnaLikesPikachu | Jun 21, 2019, 9:10am EDT











# Domino's Would Rather Go to the Supreme Court Than Make Its Website Accessible to the Blind

Rather than developing technology to support users with disabilities, the pizza chain is taking its fight to the top

by Brenna Houck | @EaterDetroit | Jul 25, 2019, 6:00pm EDT











## Some airlines may be using algorithms to split up families during flights

Your random airplane seat assignment might not be random at all.

By Aditi Shrikant | aditi@vox.com | Nov 27, 2018, 6:10pm EST







SHARE



Passengers boarding a Boeing aircraft of the low cost airline carrier Ryanair in Thessaloniki Macedonia Airport, Greece. | Nicolas Economou/NurPhoto/Getty Images





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#### Lime halts scooter service in Switzerland after possible software glitch throws users off mid-ride

X

Ingrid Lunden @ingridlunden / 9:51 am EST • January 12, 2019

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Currently, the Al portrait generator has been trained mostly on portraits of people of European ethnicity. We're planning to expand our dataset and fix this in the future. At the time of conceptualizing this Al, authors were not certain it would turn out to work at all. This is close to state of the art in Al at the moment.

Sorry for the bias in the meanwhile. Have fun!

324 Retweets 65 Quote Tweets 1,243 Likes

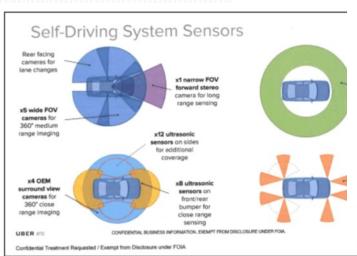


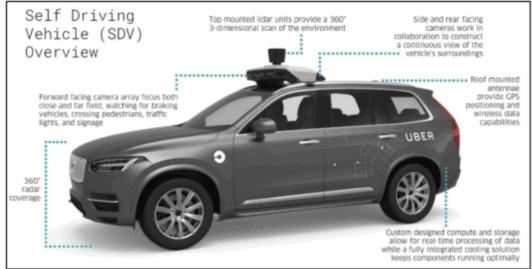
# Uber self-driving car involved in fatal crash couldn't detect jaywalkers

The system had several serious software flaws, the NTSB said.



25 Comments 1131 Shares







UBER\_NTSB\_0002435

# xing.com search for "Brand Strategist"

Search query	Work experience	Education experience		Candidate	Xing ranking
<b>Brand Strategist</b>	146	57	12992	male	1
<b>Brand Strategist</b>	327	0	4715	female	2
<b>Brand Strategist</b>	502	74	6978	male	3
<b>Brand Strategist</b>	444	56	1504	female	4
<b>Brand Strategist</b>	139	25	63	male	5
<b>Brand Strategist</b>	110	65	3479	female	6
<b>Brand Strategist</b>	12	73	846	male	7
<b>Brand Strategist</b>	99	41	3019	male	8
<b>Brand Strategist</b>	42	51	1359	female	9
Brand Strategist	220	102	17186	female	10

Lahoti, Preethi, Krishna P. Gummadi, and Gerhard Weikum. "iFair: Learning Individually Fair Data Representations for Algorithmic Decision Making." 2019 IEEE 35th International Conference on Data Engineering (ICDE) (2019



### **Twitter cropping photos**













### **Twitter cropping photos**





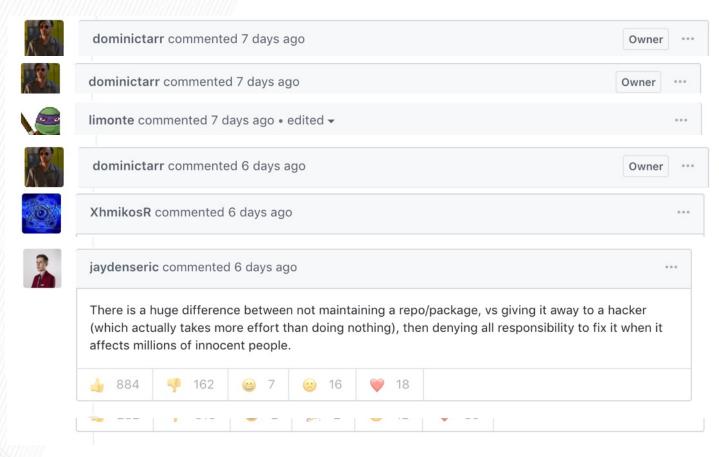






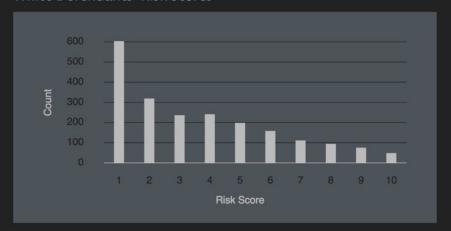


#### **Open Source Maintainers**





#### White Defendants' Risk Scores



These charts show that scores for white defendants were skewed toward lower-risk categories. Scores for black defendants were not. (Source: ProPublica analysis of data from Broward County, Fla.)

#### Black Defendants' Risk Scores



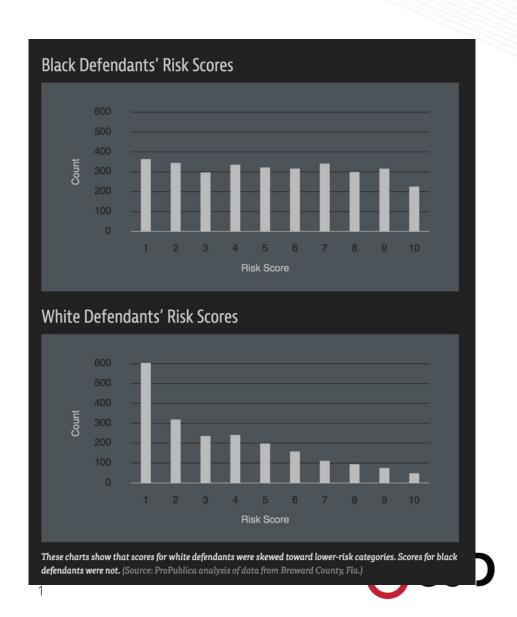
#### Prediction Fails Differently for Black Defendants

	WHITE	AFRICAN AMERICAN
Labeled Higher Risk, But Didn't Re-Offend	23.5%	44.9%
Labeled Lower Risk, Yet Did Re-Offend	47.7%	28.0%



#### **Algorithmic Bias**

Algorithms affect: Where we go to school Access to money Access to health care Receiving parole Possibility of Bail Risk Scores



#### Therac-25

Bug (race-condition) in software lead to at least 6 deaths

Traced to:

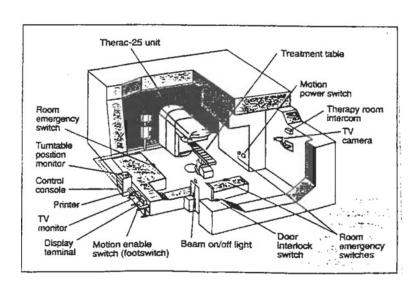
Lack of reporting bugs

Lack of proper due diligence

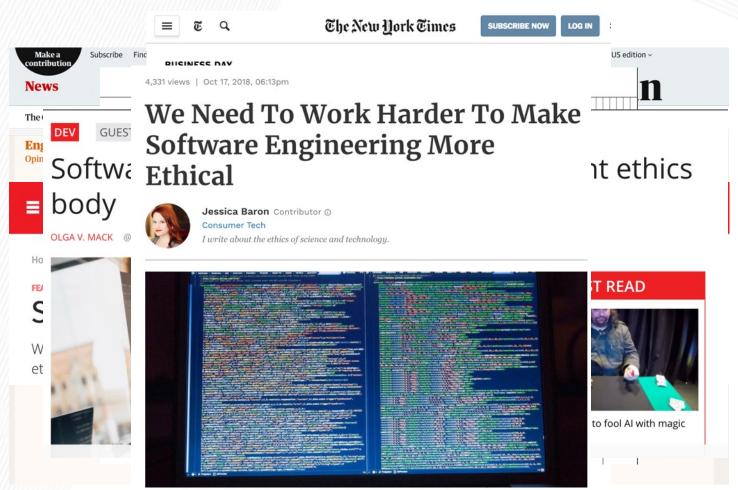
Engineers were overconfident, removed

hardware locks

Race condition of 8 seconds could lead to problems







patch the software, but you can't patch a person if you, you know, damage someone's reputation." Sam Hodgson for The New York Times



#### **Code of Ethics**

As an ACM member I will ....



Avoid harm to others.

Be honest and trustworthy.

Be fair and take action not to discriminate.

Honor property rights including copyrights and patent.

Give proper credit for intellectual property.

Respect the privacy of others.

Honor confidentiality.



Association for Computing Machinery

#### **Code of Ethics**

Research shows that the code of ethics does not appear to affect the decisions made by software developers.

### Does ACM's Code of Ethics Change Ethical Decision Making in Software Development?

Andrew McNamara North Carolina State University Raleigh, North Carolina, USA ajmcnama@ncsu.edu Justin Smith
North Carolina State University
Raleigh, North Carolina, USA
jssmit11@ncsu.edu

Emerson Murphy-Hill North Carolina State University Raleigh, North Carolina, USA emerson@csc.ncsu.edu

#### ABSTRACT

Ethical decisions in software development can substantially impact end-users, organizations, and our environment, as is evidenced by recent ethics scandals in the news. Organizations, like the ACM, publish codes of ethics to guide software-related ethical decisions. In fact, the ACM has recently demonstrated renewed interest in its code of ethics and made updates for the first time since 1992. To better understand how the ACM code of ethics changes software-

The first example is the Uber versus Waymo dispute [26], in which a software engineer at Waymo took self-driving car code to his home. Shortly thereafter, the engineer left Waymo to work for a competing company with a self-driving car business, Uber. When Waymo realized that their own code had been taken by their former employee, Waymo sued Uber. Even though the code was not apparently used for Uber's competitive advantage, the two companies settled the lawsuit for \$245 million dollars.



#### **Challenge:**

How do we apply ethics to a field (Software Engineering) that is changes so often?

Remember the Dominos case? The ADA law was written before the first website (1990)

To handle this uncertainty about the future, let's focus on three questions we can ask to remind ourselves to focus on promoting human flourishing.



# Three questions to promote human flourishing

- 1. Does my software respect the humanity of the users?
- Does my software amplify positive behavior, or negative behavior for users and society at large?
- 3. Will my software's **quality** impact the **humanity** of others?



1.Does my software respect the humanity of the users?



# Humane Design Guide http://humanetech.com

#### Humane Design Guide (Alpha Version)

Use this worksheet to identify opportunities for Humane Technology.  Product or feature:  Value proposition:  Measure of success:		What are Human Sensitivities?  Human Sensitivites are instincts that are often vulnerable to new technologies.		
Human Sensitivity	We are inhibited when	What inhibits	We are supported when	Opportunity to improve
Emotional What we feel in our body and in our physical health.	We are stressed, low on sleep, afraid or emotionally exhausted.	Artificial scarcity     Urgency signalling     Constant monitoring     Optimizing for screentime	Design engenders calm, balance, safety, pauses and supports circadian rhythms.	High Low
Attention How and where we focus our attention.	Attention is physiologically drawn, overwhelmed or fragmented.	Constant context switching     Many undifferentiated choices     Fearful information     No stopping cues (e.g. infinite scroll)     Unnecessary movement	Enabled to bring more focus and mindfulness.	
Sensemaking How we integrate what we sense with what we know.	Information is fear-based, out of context, confusing, or manipulative.	Facts out of context     Over-personalized filters     Equating virality with credibility     Deceptive authority (ads vs. content)	Enabled to consider, learn, express and feel grounded.	0
Decisionmaking How we align our actions with our intentions.  Intentions and agency are not solicited nor supported.		Avatars to convey authority     Stalking ads and messages     Push content models     Serving preference over intent	Enabled to gain agency, purpose, and mobilization of intent.	0
Social Reasoning How we understand and navigate our personal relationships.  Status, relationships or self-image are manipulated.		Quantified social status     Viral sharing     Implied obligation     Enabling impersonation	Enabled to connect more safely and authentically with others.	9
Group Dynamics How we navigate larger groups, status, and shared understanding.	Excluded, divided or mobilized through fear.	SuppressIng views and nuance     Enabling ad hominem or hate speech     Enabling viral outrage     Lack of agreed-upon norms	Enabled to develop a sense of belonging and cooperation.	9
			Now rank the consitiuities 1-6 base	nd on what you now ean as

**S3D** 

the largest opportunities for Humane Design. Then use the

second sheet to develop an action statement.

Center for Humane Technology www.humanetech.com

## Humane Design Guide http://humanetech.com

Provides a template for considering a piece of software, and asking questions to help us arrive at a "humane design"

Consider 6 human sensitivities: Emotional, Attention, Sense making, Decision making, Social Reasoning, and Group Dynamics

**Human Sensitivity** We are inhibited when What inhibits We are supported when Opportunity to improve Constant context switching Attention Attention is physiologically Many undifferentiated choices Enabled to bring more How and where we focus drawn, overwhelmed or · Fearful information focus and mindfulness. our attention. fragmented. No stopping cues (e.g. infinite scroll) Unnecessary movement



## Humane Design Guide http://humanetech.com

After analysis step, develop plan of action:

- 1. In what ways does your product/feature currently engage Human Sensitivities?
- 2. How might your product/feature support or elevate human sensitivities?
- 3. Action Statement



#### GenderMag https://gendermag.org

#### Abby Jones<sup>1</sup>



#### You can edit anything in blue print

- 28 years old
- Employed as an Accountant
- Lives in Cardiff, Wales

Abby has always liked music. When she is on her way to work in the morning, she listens to music that spans a wide variety of styles. But when she arrives at work, she turns it off, and begins her day by scanning all her emails first to get an overall picture before answering any of them. (This extra pass takes time but seems worth it.) Some nights she exercises or stretches, and sometimes she likes to play computer puzzle games like Sudoku

#### Background and skills

Abby works as an accountant. She is comfortable with the technologies she uses regularly, but she just moved to this employer 1 week ago, and their software systems are new to her.

Abby says she's a "numbers person," but she has never taken any computer programming or IT systems classes. She <u>likes Math</u> and knows how to think with numbers She writes and edits spreadsheet formulas in her work.

In her free time, she also enjoys working with numbers and logic. She especially likes working out puzzles and puzzle games, either on paper or on the computer

#### **Motivations and Attitudes**

- Motivations: Abby uses technologies to accomplish her tasks. She learns new technologies if and when she needs to, but prefers to use methods she is already familiar and comfortable with, to keep her focus on the tasks she cares about.
- Computer Self-Efficacy: Abby has low confidence about doing unfamiliar computing tasks. If problems arise with her technology, she often blames herself for these problems.
   This affects whether and how she will persevere with a task if technology problems have arisen.
- Attitude toward Risk: Abby's life is a little complicated and she rarely has spare time. So she is risk averse about using unfamiliar technologies that might need her to spend extra time on them, even if the new features might be relevant. She instead performs tasks using familiar features, because they're more predictable about what she will get from them and how much time they will take.

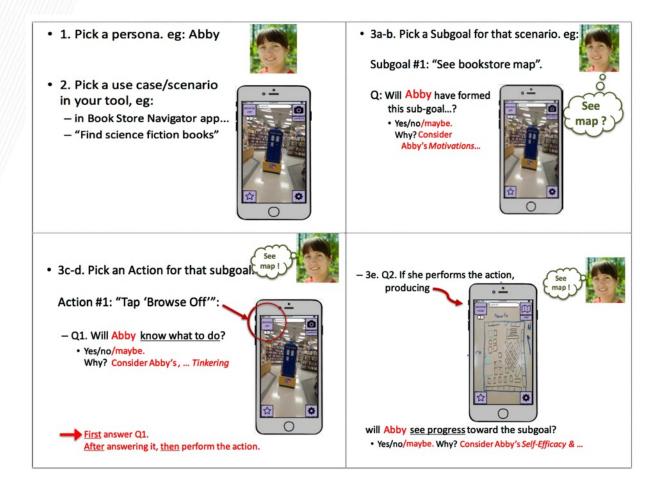
#### How Abby Works with Information and Learns:

- Information Processing Style: Abby tends towards a comprehensive information processing style when she needs to more information. So, instead of acting upon the first option that seems promising, she gathers information comprehensively to try to form a complete understanding of the problem before trying to solve it. Thus, her style is "burst-y"; first she reads a lot, then she acts on it in a batch of activity.
- Learning: by Process vs. by Tinkering: When learning new technology, Abby leans toward process-oriented learning, e.g., tutorials, step-by-step processes, wizards, online how-to videos, etc. She doesn't particularly like learning by tinkering with software (i.e., just trying out new features or commands to see what they do), but when she does tinker, it has positive effects on her understanding of the software.

<sup>&</sup>lt;sup>1</sup>Abby represents users with motivations/attitudes and information/learning styles similar to hers. For data on females and males similar to and different from Abby, see <a href="http://eusesconsortium.org/gender/gender.php">http://eusesconsortium.org/gender/gender.php</a>



#### GenderMag https://gendermag.org





#### **User Centered Design**

User-centered design tries to optimize the product around how users can, want, or need to use the product, rather than forcing the users to change their behavior to accommodate the product.

-Wikipedia

REVISED & EXPANDED EDITION The DESIGN of EVERYDAY THINGS DON NORMAN

### Agile

**Manifesto for Agile Software Development** User ( We are uncovering better ways of developing software by doing it and helping others do it. Through this work we have come to value: Customer collaboration over contract negotiation Agile ( Responding to change over following a plan That is, while there is value in the items on the right, we value the items on the left more. Kent Beck James Grenning Robert C. Martin Mike Beedle Jim Highsmith Steve Mellor Arie van Bennekum Andrew Hunt Ken Schwaber Alistair Cockburn Ron Jeffries Jeff Sutherland Dave Thomas Ward Cunningham Jon Kern Martin Fowler Brian Marick



2.Does my software amplify positive or negative behavior for users and society at large?



#### What if...

https://pair-code.github.io/what-if-tool/

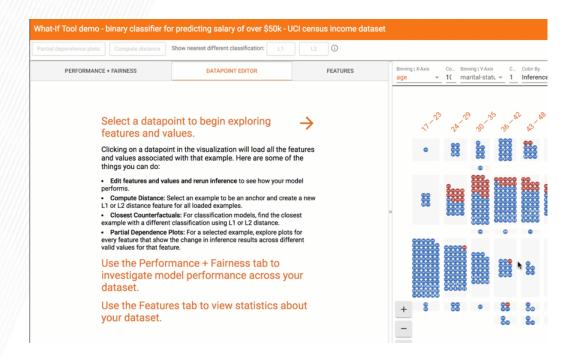
## What If...

you could inspect a machine learning model, with minimal coding required?



#### What if...

#### https://pair-code.github.io/what-if-tool/





# Dog vs Wolf





(a) Husky classified as wolf

(b) Explanation

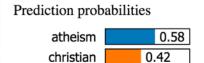
Figure 11: Raw data and explanation of a bad model's prediction in the "Husky vs Wolf" task.

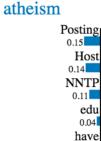
	Before	After
Trusted the bad model	10 out of 27	3 out of 27
Snow as a potential feature	12 out of 27	25 out of $27$



#### **Local Interpretable Model-Agnostic Explanations LIME)**

https://github.com/marcotcr/lime





0.01 There

0.01

#### christian

#### Text with highlighted words

From: johnchad@triton.unm.edu (jchadwic) Subject: Another request for Darwin Fish

Organization: University of New Mexico, Albuquerque

Lines: 11

NNTP-Posting-Host: triton.unm.edu

Hello Gang,

There have been some notes recently asking where to obtain the DARWIN fish.

This is the same question I have and I have not seen an answer on the

net. If anyone has a contact please post on the net or email me.



## **Explain "why" to customers**





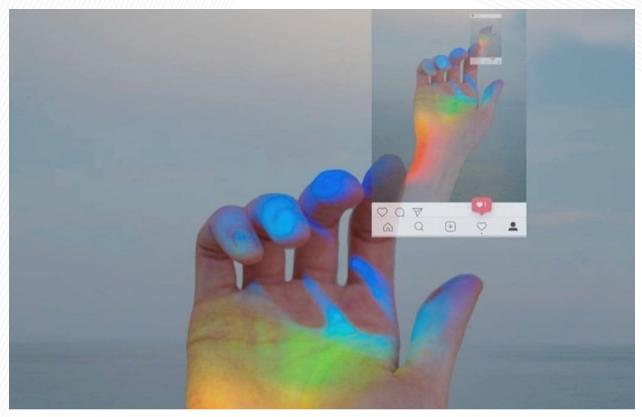
There may be other reasons you're seeing this ad, including that Rivendale Farms wants to reach people ages 22 to 64 who live or were recently near Pittsburgh, Pennsylvania. This is information based on your Facebook profile and where you've connected to the internet.











@dovneon

# What Instagram removing likes may mean for influencers and our self-esteem

SCIENCE & TECH - FEATURE

The decision could have a positive impact on the way people use the platform, but harm those trying to use it professionally



# Anil Dash on how to prevent abuse

http://anildash.com/2011/07/20/if\_your\_websites\_full\_of\_assholes\_its\_your\_fault-2/

You should have real humans dedicated to monitoring and responding to your community.

You should have community policies about what is and isn't acceptable behavior.

Your site should have accountable identities.

You should have the technology to easily identify and stop bad behaviors.

You should make a budget that supports having a good community, or you should find another line of work.



#### Deon https://github.com/drivendataorg/deon



tests passing codecov 97% pypi v0.2.2 conda-forge v0.2.2

Read more about deon on the project homepage

#### An ethics checklist for data scientists

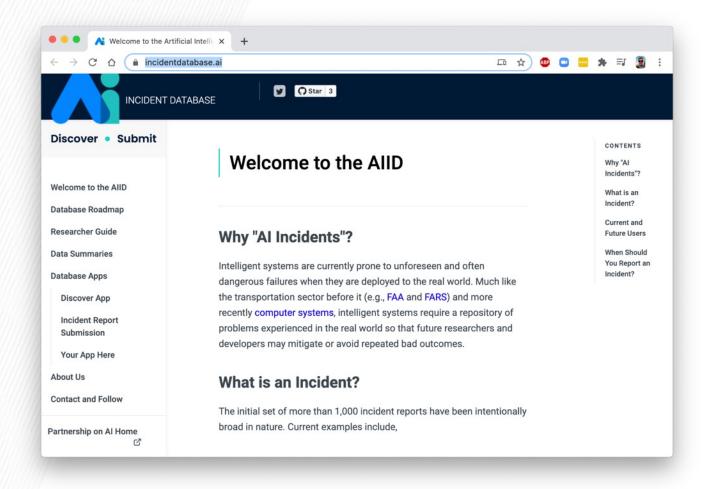
deon is a command line tool that allows you to easily add an ethics checklist to your data science projects. We support creating a new, standalone checklist file or appending a checklist to an existing analysis in many common formats.

δέον • (déon) [n.] (Ancient Greek) wikitionary

Duty; that which is binding, needful, right, proper.



#### **Al Incident Database**





# 3. Will my software's quality impact the humanity of others?



# Quality has long been considered

#### Quality attributes [edit]

Notable quality attributes include:

- accessibility
- · accountability
- accuracy
- adaptability
- · administrability
- · affordability
- · agility [Toll] (see Common Subsets below)
- auditability
- · autonomy [Erl]
- availability
- · compatibility
- · composability [Erl]
- · configurability
- · correctness
- credibility
- customizability
- · debugability
- degradability
- determinability
- demonstrability
- dependability
- · deployability
- discoverability [Erl]
- distributability
- durability
- effectiveness
- efficiency
- · evolvability
- extensibility
- · failure transparency
- · fault-tolerance
- fidelity
- flexibility
- inspectability
- installability
- · integrity
- interchangeability
- interoperability [Erl]
- learnability
- localizability
- maintainability
- manageability

- mobility
- modifiability
- modularity observability
- · operability
- orthogonality
- portability
- precision
- predictability
- · process capabilities
- producibility
- · provability
- recoverability
- relevance
- · reliability
- repeatability
- · reproducibility
- resilience
- responsiveness
- · reusability [Erl]
- robustness
- safety
- scalability
- seamlessness
- · self-sustainability
- · serviceability (a.k.a. supportability)
- securability
- · simplicity
- stability
- · standards compliance
- survivability
- sustainability
- tailorability
- testability
- timeliness
- traceability
- transparency
- ubiquity
- understandability
- upgradability
- vulnerability



## **Engineering ethics.**

Ethics applies and is formalized in many professional fields: medical, legal, business, and engineering.

The first codes of engineering ethics were formally adopted by American engineering societies in 1912-1914. In 1946 the National Society of Professional Engineers (NSPE) adopted their first formal Canons of Ethics.



# "hold paramount safety, health and welfare of the public"

Citigroup Center, Designed by Structural engineer William LeMessurier Followed calculations required by building codes

Civil Engineering student Diane Hartley realized there was a problem
Tests showed that winds needed to bring it down would happen every 55 years





#### **Professional Ethics**

Professional ethics encompass the personal, and corporate standards of behavior expected

by professionals.

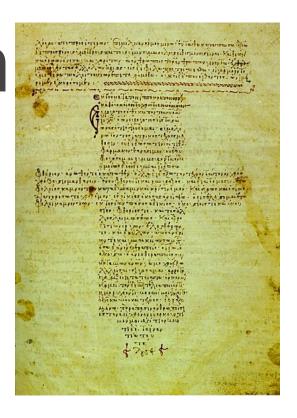
# First three "professions"

- -Divinity,
- -Law
- -Medicine



### **Medicine - Intrinsic**

# Hippocratic Oath ~450BC "Do no Harm"





### Law - Extrinsic

# Bar regulates behavior

Oath to follow rules

Malpractice



# **Legal Malpractice**

Not every mistake is legal malpractice. For malpractice to exist:

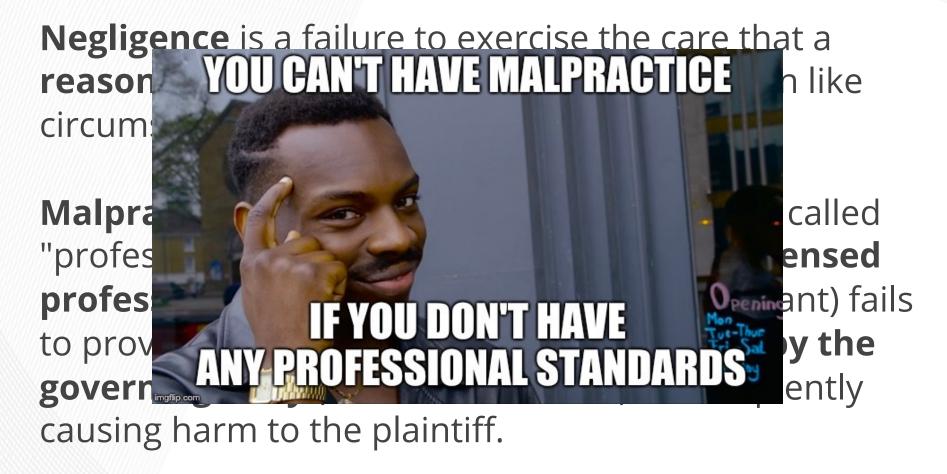
Attorney must handle a case inappropriately

due to negligence or with intent to harm

And cause damages to a client



# Malpractice vs. Negligence





# **DISCUSSION:** What should we do going forward?



## **Bioengineering Ethics:**

- Respect for Autonomy
- Beneficence
- Nonmaleficence
- Justice



# **Professional Engineers**

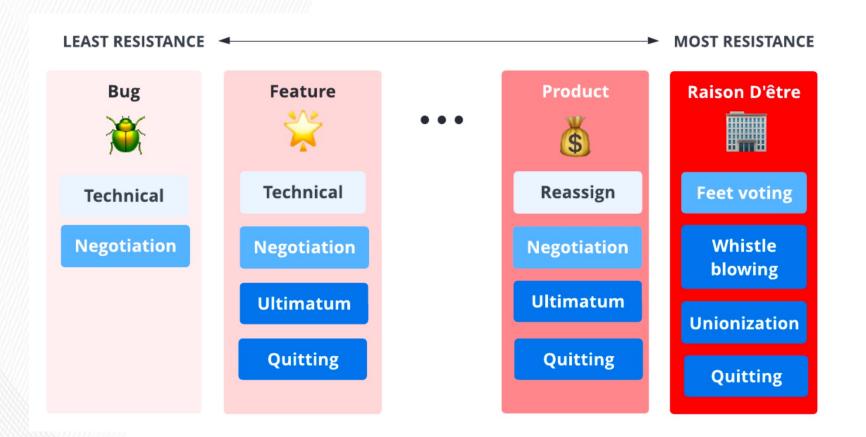
What {is / could be} the role of **professional engineers** in software?



By ----PCStuff 03:47, 31 July 2006 (UTC), CC BY-SA 2.5, https://commons.wikimedia.org/w/index.php?curid=10340855



### Different scope of concerns addressed differently





### Will software quality impact human flourishing?

Most traditional emphasis of "engineering ethics" What can we learn from other professions? Should software have "Professional Engineers"? How do we define "safety critical systems"? How much testing is enough? How can we convince others to do that much testing?



These questions are the **start** of the **conversation**, but as technology evolves, we must be **vigilant** to ensure we are promoting human flourishing



# Three questions to promote human flourishing

- 1. Does my software respect the **humanity** of the **users**?
- 2. Does my software **amplify positive** behavior, or **negative** behavior for users and society at large?
- 3. Will my software's **quality** impact the **humanity** of others?

